



Sanjay Ghodawat University, Kolhapur

2018-19

Established as State Private University under Govt. of Maharashtra. Act No XL, 2017

EXM/P/09/01

Year and Program: 2018-19

School of Commerce &
Management

Department of S Y MBA

Course Code: MMC FM 613

Course Title: Financial Markets
& Services

Semester – III

Day and Date:-

Tuesday
18/12/2018

End Semester Examination
(ESE)

Time: 10.00am to 1.00pm

Max Marks: 100s

Instructions:

1) All questions are compulsory.

2) Figures to the right indicate full marks Bloom's level and course objectives

Q.1	Solve any Two	Marks	Bloom's Level	CO
a)	As per the SEBI act. 1992, "Regulating capital markets is the important role of Securities Exchange Board of India (SEBI)" Discuss.	07	L ₂	CO1
OR				
a)	Explain in detail the traditional banking functions of Reserve Bank of India (RBI).	07	L ₂	CO1
b)	Discuss the role of commodity market in investment trading.	08	L ₂	CO2
OR				
b)	"Forward contracts are the private agreements between the two parties". Discuss.	08	L ₃	CO2
Q.2	Solve any Two			
a)	As per the Securities Contract Regulation Act, 1956, "Main Objective of Over the Counter Exchange of India (OTCEI) is to aid enterprising promoters in raising finance". Discuss	07	L ₃	CO3
OR				
a)	Distinguish between call and put option with example	07	L ₃	CO3
b)	What are indices? Explain the roles and functions of indices	08	L ₃	CO4
OR				
b)	"Stock exchange is called as barometer of economy" Discuss.	08	L ₃	CO4

Q.3 Solve any Two				
a)	"IRDA is established to promote & protect the rights of policy holders" Discuss.	08	L ₂	CO1
b)	"Derivative market is not an ideal place of investment for everyone" Discuss.	08	L ₂	CO2
c)	What are interest rate swaps? How they are used for hedging.	08	L ₂	CO3
d)	Brief on salient features of 'National Stock Exchange'	08	L ₂	CO4
Q.4 Solve any Two				
a)	What are the major advantages of credit rating? As an investor how will you arrive at the investment decisions by studying credit scores of financial instruments?	09	L ₂	CO5
b)	What are T+2 rolling settlements? Write the procedure of trading in secondary market.	09	L ₂	CO5
c)	Explain the role and functions of National Securities & Depositories Ltd. (NSDL) and Central Depositories Services Limited (CDSL).	09	L ₂	CO5
Q.5 Solve any Two				
a)	Why merchant banker is called as a financial engineer for a business? Substantiate your answer by examples.	09	L ₂	CO6
b)	How lease financing is different than debt financing?	09	L ₂	CO6
c)	"Venture capital helps entrepreneurs to actualize scientific ideas and inventions" Discuss.	09	L ₂	CO6
Q.6 Write short Notes on following (Any Three)				
a)	Formation of SENSEX	06	L ₂	CO5
b)	Screen based trading	06	L ₂	CO5
c)	Advantages & Disadvantages of lease financing	06	L ₂	CO6
d)	Importance of credit rating agencies	06	L ₂	CO6
